

# Language Modelling

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- Role of LM in ASR and MT
- N-gram Language Models
- Evaluation of Language Models
- Smoothing Schemes
- Discounting Methods
- Class-based LMs
- Maximum-Entropy LMs
- Neural Network LMs
- Toolkits and ARPA file format

**Goal:** find the words  $\mathbf{w}^*$  in a speech signal  $\mathbf{x}$  such that:

$$\mathbf{w}^* = \arg \max_{\mathbf{w}} \Pr(\mathbf{x} | \mathbf{w}) \Pr(\mathbf{w}) \quad (1)$$

**Problems:**

- **language modeling** (LM): estimating  $\Pr(\mathbf{w})$
- **acoustic modeling** (AM): estimating  $\Pr(\mathbf{x} | \mathbf{w})$
- **search problem:** computing (1)

AM sums over hidden state sequences  $\mathbf{s}$  a Markov process of  $(\mathbf{x}, \mathbf{s})$  from  $\mathbf{w}$

$$\Pr(\mathbf{x} | \mathbf{w}) = \sum_{\mathbf{s}} \Pr(\mathbf{x}, \mathbf{s} | \mathbf{w})$$

**Hidden Markov Model:** hidden states "link" speech frames to words.

**Goal:** find the English string  $e$  translating the foreign text  $f$  such that:

$$e^* = \arg \max_e \Pr(f | e) \Pr(e) \quad (2)$$

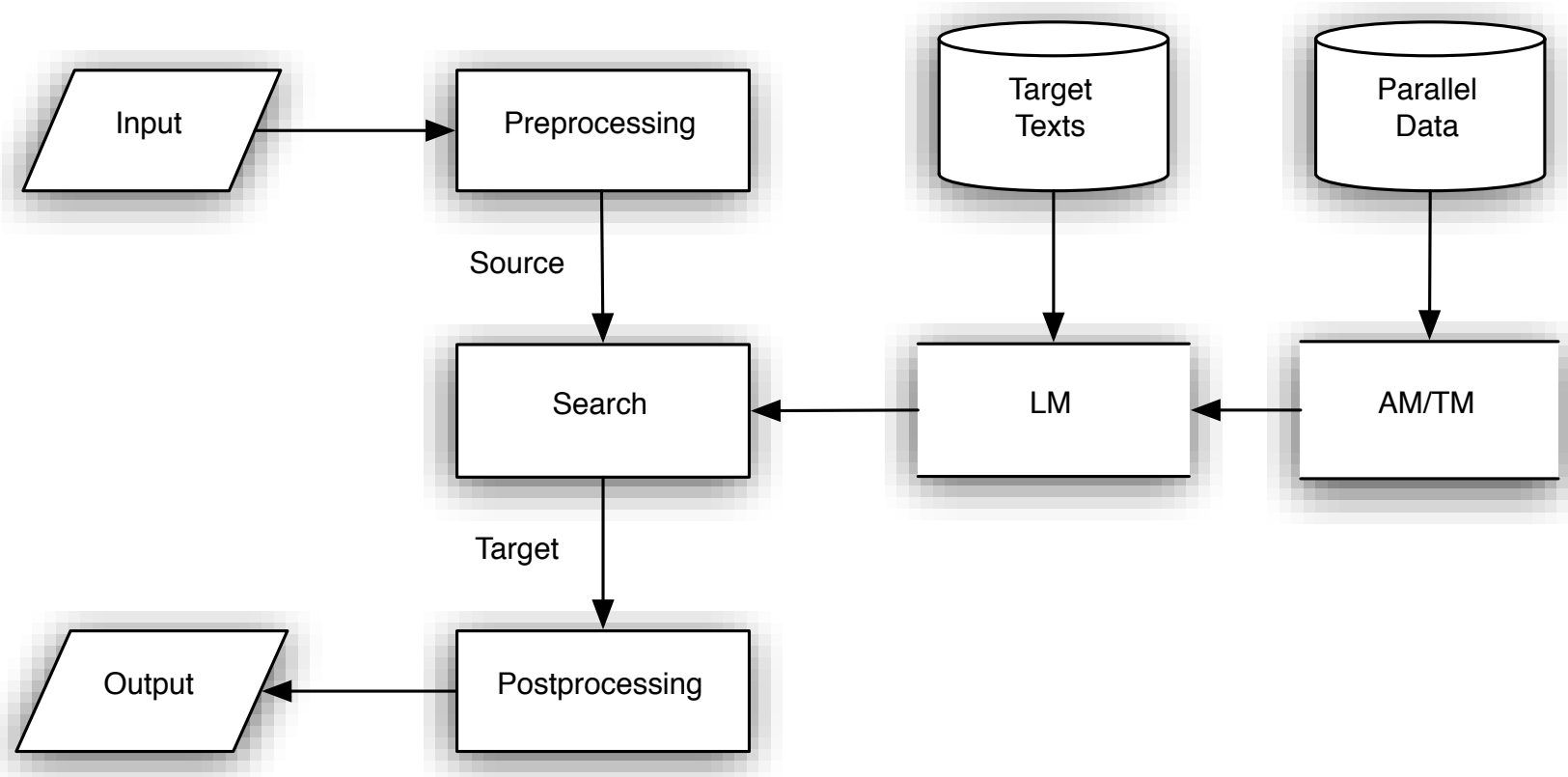
**Problems:**

- **language modeling** (LM): estimating  $\Pr(e)$
- **translation modeling** (TM): estimating  $\Pr(f | e)$
- **search problem**: computing (2)

TM sums over hidden alignments  $a$  a stochastic process generating  $(f, a)$  from  $e$ .

$$\Pr(f | e) = \sum_a \Pr(f, a | e)$$

**Alignment Models:** hidden alignments "link" foreign words with English words.



- **Parallel data** are samples of observations  $(\mathbf{x}, \mathbf{w})$  and  $(\mathbf{f}, \mathbf{e})$
- AM and TM can be **machine-learned** without observing  $\mathbf{s}$  and  $\mathbf{a}$ .

- Translation hypotheses are ranked by:

$$\mathbf{e}^* = \arg \max_{\mathbf{e}, \mathbf{a}} \sum_i \lambda_i h_i(\mathbf{e}, \mathbf{f}, \mathbf{a})$$

- **Phrases** are finite strings (cf. n-grams)
- Hidden variable  $\mathbf{a}$  embeds:
  - **segmentation** of  $\mathbf{f}$  and  $\mathbf{e}$  into phrases
  - **alignment** of phrases of  $\mathbf{f}$  with phrases of  $\mathbf{e}$
- **Feature functions**  $h_k()$  include:
  - Translation Model: appropriateness of phrase-pairs
  - Distortion Model: word re-ordering
  - **Language Model**: fluency of target string
  - Length Model: number of target words
- **Role of the LM** is exactly the same as for the noisy channel approach:
  - **to score translations incrementally** generated by the search algorithm!

Given a text  $\mathbf{w} = w_1 \dots, w_t, \dots, w_{|\mathbf{w}|}$  we can compute its probability by:

$$\Pr(\mathbf{w}) = \Pr(w_1) \prod_{t=2}^{|\mathbf{w}|} \Pr(w_t | h_t) \quad (3)$$

where  $h_t = w_1, \dots, w_{t-1}$  indicates the **history** of word  $w_t$ .

- $\Pr(w_t | h_t)$  becomes difficult to estimate as the history  $h_t$  grows .
- hence, we take the  $n$ -gram **approximation**  $h_t \approx w_{t-n+1} \dots w_{t-1}$

e.g. Full history:  $\Pr(\text{Parliament} | \text{I declare resumed the session of the European})$

**3-gram** :  $\Pr(\text{Parliament} | \text{the European})$

The choice of  $n$  determines the complexity of the LM (# of parameters):

- **bad**: no magic recipe about the optimal order  $n$  for a given task
- **good**: language models can be evaluated quite cheaply

- Extrinsic: **impact on task** (e.g. BLEU score for MT)
- Intrinsic: capability of **predicting words**

The **perplexity** (PP) measure is defined as: <sup>1</sup>

$$PP = 2^{LP} \quad \text{where} \quad LP = -\frac{1}{|\mathbf{w}|} \log_2 p(\mathbf{w}) \quad (4)$$

- $\mathbf{w}$  is a **sufficiently long test sample** and  $p(\mathbf{w})$  is the LM probability.

**Properties:**

- $0 \leq PP \leq |V|$  (size of the vocabulary  $V$ )
- **predictions** are as good as guessing among  $PP$  equally likely options

**Good news:** there is typical strong correlation between PP and BLEU scores!

<sup>1</sup>[Exercise 1. Find PP of 1-gram LM on the sequence T H T H T H T T H T T H for  $p(\text{T})=0.3$ ,  $p(\text{H})=0.7$  and  $p(\text{H})=0.3$ ,  $p(\text{T})=0.7$ . Comment the results.]



# Train-set vs. test-set perplexity

For an  $n$ -gram LM, the LP quantity can be computed as follows:

$$LP = -\frac{1}{|\mathbf{w}|} \sum_{t=1}^{|\mathbf{w}|} \log_2 p(w_t | h_t).$$

PP is a function of a LM and a text:

- the lower the PP the better the LM
- test-set PP evaluates LM generalization capability
- PP strongly penalizes zero probabilities
- train-set PP measures how good the LM explains training data

Note: train-set PP is strictly related to the train-set **likelihood**.

Estimating  $n$ -gram probabilities is not trivial due to:

- **model complexity**: e.g. 10,000 words correspond to 1 trillion 3-grams!
- **data sparseness**: e.g. most 3-grams are rare events even in huge corpora.

**Relative frequency estimate**: MLE of any discrete conditional distribution is:

$$f(w | x y) = \frac{c(w | x y)}{\sum_w c(w | x y)} = \frac{c(x y w)}{c(x y)}$$

where  $n$ -gram counts  $c(\cdot)$  are taken over the **training corpus**.

**Problem**: relative frequencies in general overfit the training data

- if the test sample contains a "new"  $n$ -gram **PP**  $\rightarrow +\infty$
- this is largely the most frequent case for  $n \geq 3$

**We need frequency smoothing!**

**Issue:**  $f(w | x y) > 0$  only if  $c(x y w) > 0$

**Idea:** for each observed  $w$  **take off some fraction of probability** from  $f(w | x y)$  and redistribute the total to all words never observed after  $x y$ .

- the **discounted frequency**  $f^*(w | x y)$  satisfies:

$$0 \leq f^*(w | x y) \leq f(w | x y) \quad \forall x, y, w \in V$$

- the total discount is called **zero-frequency probability**  $\lambda(x y)$ :<sup>2</sup>

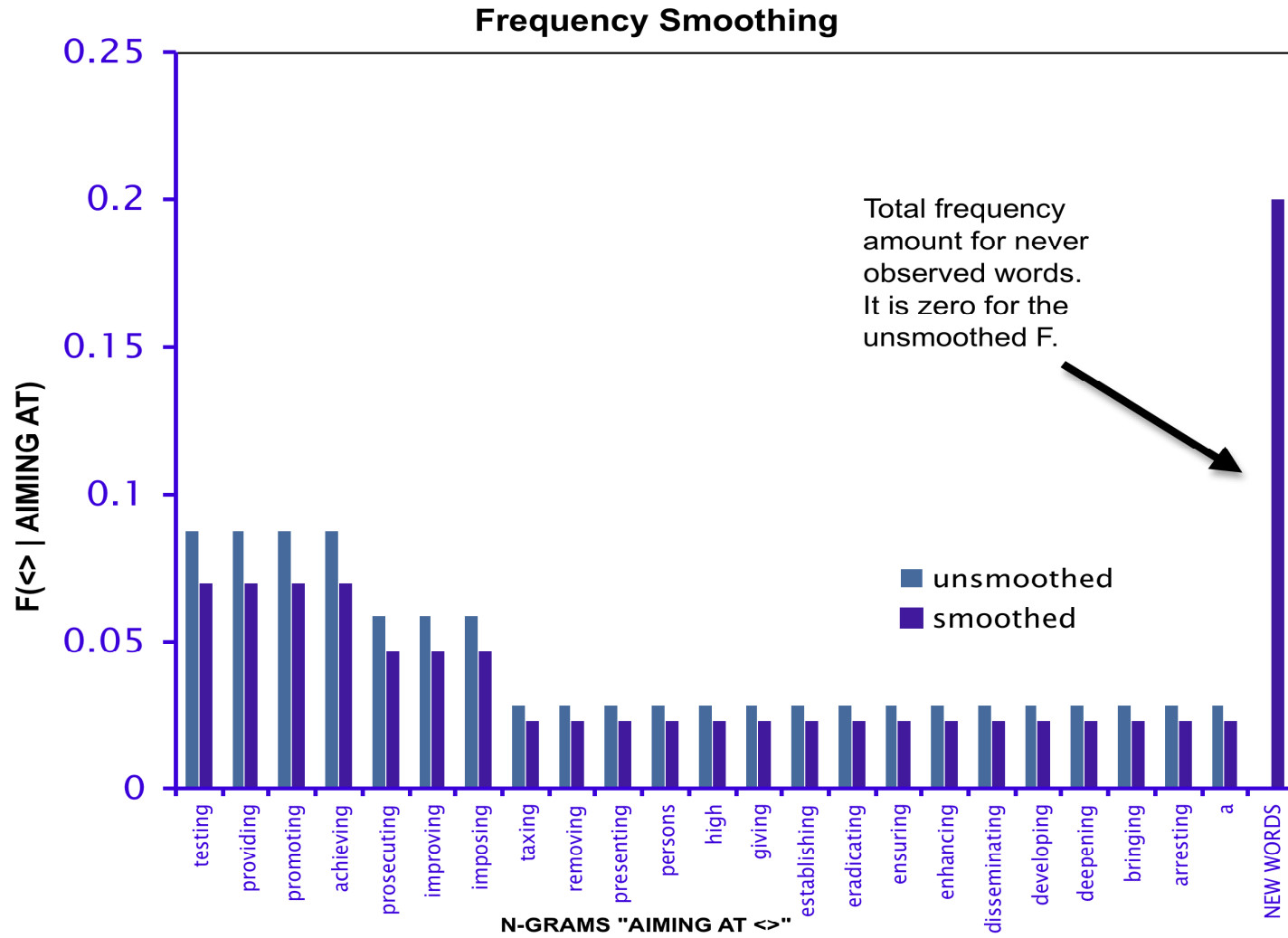
$$\lambda(x y) = 1.0 - \sum_{w \in V} f^*(w | x y)$$

How to redistribute the total discount?

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<sup>2</sup>Notice: by convention  $\lambda(x y) = 1$  if  $f(w | x y) = 0$  for all  $w$ , i.e.  $c(x y) = 0$ .

# Discounting Example



**Insight:** redistribute  $\lambda(x \ y)$  according to the lower-order smoothed frequency.

Two major **hierarchical** schemes to compute the **smoothed frequency**  $p(w \mid x \ y)$ :

- **Back-off**, i.e. select the best available  $n$ -gram approximation:

$$p(w \mid x \ y) = \begin{cases} f^*(w \mid x \ y) & \text{if } f^*(w \mid x \ y) > 0 \\ \alpha_{xy} \times \lambda(x \ y)p(w \mid y) & \text{otherwise} \end{cases} \quad (5)$$

where  $\alpha_{xy}$  is an appropriate normalization term.<sup>3</sup>

- **Interpolation**, i.e. sum up the two approximations:

$$p(w \mid x \ y) = f^*(w \mid x \ y) + \lambda(x \ y)p(w \mid y). \quad (6)$$

Smoothed frequencies are learned bottom-up, starting from 1-grams ...

<sup>3</sup>[Exercise 2. Find an expression for  $\alpha_{xy}$  s.t.  $\sum_w p(w \mid x \ y) = 1$ .]

Unigram smoothing permits to treat **out-of-vocabulary** (OOV) words in the LM.

**Assumptions:**

- $|U|$ : upper-bound estimate of the size of the **true vocabulary**
- $f^*(w) > 0$  on **observed vocabulary**  $V$ , e.g.  $f^*(w) = c(w)/(N + |V|)$
- $\lambda$ : total discount reserved to OOV words, e.g.  $\lambda = N/(N + |V|)$

**Then:** 1-gram back-off/interpolation schemes collapse to:

$$p(w) = \begin{cases} f^*(w) & \text{if } w \in V \\ \lambda \times (|U| - |V|)^{-1} & \text{otherwise} \end{cases} \quad (7)$$

**Notice:** we introduce approximations when an OOV word  $o$  appears:

$$p(w \mid h_1 \ o \ h_2) = p(w \mid h_2) \quad \text{and} \quad p(o \mid h) = p(o)$$

**Important:** use a common value  $|U|$  when comparing/combining different LMs!

## Linear interpolation (LI) [Jelinek, 1990]

- **Insight:**
  - learn  $\lambda(x\ y)$  from data with a mixture model
  - MLE on some held-out data (**EM algorithm**)
- **Solution:**

$$f^*(w \mid xy) = (1 - \lambda([x\ y]))f(w \mid xy) \quad \text{and} \quad 0 \leq \lambda([x\ y]) \leq 1$$

the notation  $[x\ y]$  means that a map is applied to reduce the set of parameters, e.g., according to the frequency of the last word in the history:

$$[x\ y] = \begin{cases} 0 & \text{if } c(y) \leq k_1 \\ c(y) & \text{if } k_1 < c(y) \leq k_2 \\ y + k_2 & \text{if } k_2 < c(y) \end{cases}$$

- **Pros:** sound and robust
- **Cons:** over-smooths frequent n-grams

## Witten-Bell estimate (WB) [Witten and Bell, 1991]

- **Insight:** count how often you would back-off after  $x \ y$  in the training data
  - corpus:  $x \ y \ u \ x \ x \ y \ t \ t \ x \ y \ u \ w \ x \ y \ w \ x \ y \ t \ u \ x \ y \ u \ x \ y \ t$
  - assume  $\lambda(x \ y) \propto$  number of back-offs (i.e. 3)
  - hence  $f^*(w \mid x \ y) \propto$  relative frequency (linear discounting)
- **Solution:**

$$\lambda(x \ y) = \frac{n(x \ y \ *)}{c(x \ y) + n(x \ y \ *)} \quad \text{and} \quad f^*(w \mid xy) = \frac{c(x \ y \ w)}{c(x \ y) + n(x \ y \ *)}$$

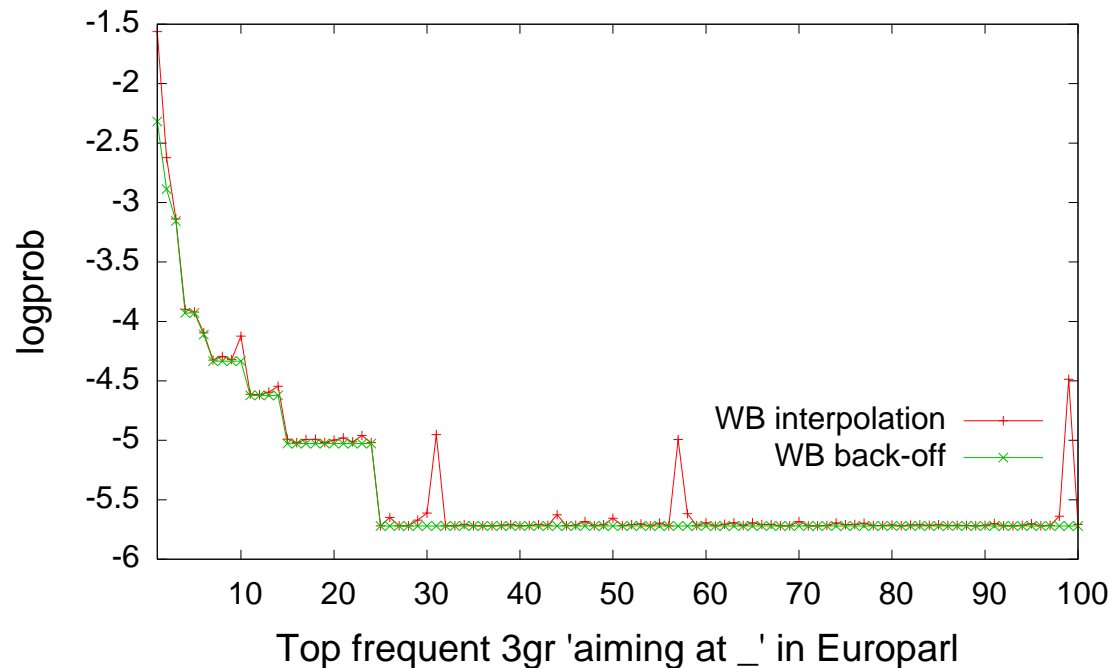
where  $c(x \ y) = \sum_w c(x \ y \ w)$  and  $n(x \ y \ *) = |\{w : c(x \ y \ w) > 0\}|$ .<sup>4</sup>

- **Pros:** easy to compute, robust for small corpora, works with artificial data.
- **Cons:** underestimates probability of frequent  $n$ -grams

<sup>4</sup>[Exercise 3. Compute  $f^*(u \mid x \ y)$  with WB on the above artificial text.]



- Interpolation and back-off with WB discounting
- Trigram LMs estimated on the English Europarl corpus
- Logprobs of 3-grams of type aiming at \_ observed in training



- Peaks correspond to very probable 2-grams interpolated with  $f^*$  respectively: at that, at national, at European
- Back-off performs slightly better than interpolation but costs more

## Absolute Discounting (AD) [Ney and Essen, 1991]

- **Insight:**
  - high counts are be more reliable than low counts
  - **subtract a small constant  $\beta$**  ( $0 < \beta \leq 1$ ) from each count
  - estimate  $\beta$  by maximizing the leaving-one-out likelihood of the training data
- **Solution:** (notice: one distinct  $\beta$  for each n-gram order)

$$f^*(w | x y) = \max \left\{ \frac{c(xyw) - \beta}{c(xy)}, 0 \right\} \text{ which gives } \lambda(xy) = \beta \frac{\sum_{w:c(xyw)>1} 1}{c(xy)}$$

where  $\beta \approx \frac{n_1}{n_1+2n_2} \leq 1$  and  $n_r = |\{x y w : c(x y w) = r\}|$ .<sup>5</sup>

- **Pros:** easy to compute, accurate estimate of frequent  $n$ -grams.
- **Cons:** problematic with small and artificial samples.

<sup>5</sup>[Exercise 4. Given the text in WB slide find the number of 3-grams,  $n_1$ ,  $n_2$ ,  $\beta$ ,  $f^*(w | x y)$  and  $\lambda(x y)$ ]

## Kneser-Ney method (KN) [Kneser and Ney, 1995]

- **Insight:** lower order counts are only used in case of back-off
  - estimate frequency of back-offs to  $y \ w$  in the training data (cf. WB)
  - corpus: **x y w x t y w t x y w u y w t y w u x y w u u y w**
  - replace  $c(x \ y)$  with  $n(* \ y \ w) = \#$  of observed back-offs (=3)
- **Solution:** (for 3-gram normal counts)

$$f^*(w | y) = \max \left\{ \frac{n(* \ y \ w) - \beta}{n(* \ y \ *)}, 0 \right\} \text{ which gives } \lambda(y) = \beta \frac{\sum_{w:n(* \ y \ w) > 1} 1}{n(* \ y \ *)}$$

where  $n(* \ y \ w) = |\{x : c(x \ y \ w) > 0\}|$  and  $n(* \ y \ *) = |\{x \ w : c(x \ y \ w) > 0\}|$

- **Pros:** better back-off probabilities, can be applied to other smoothing methods
- **Cons:** LM cannot be used to compute lower order  $n$ -gram probs

## Modified Kneser-Ney (MKN) [Chen and Goodman, 1999]

- **Insight:**
  - specific discounting coefficients for infrequent  $n$ -grams
  - introduce more parameters and estimate them with leaving-one-out
- **Solution:**

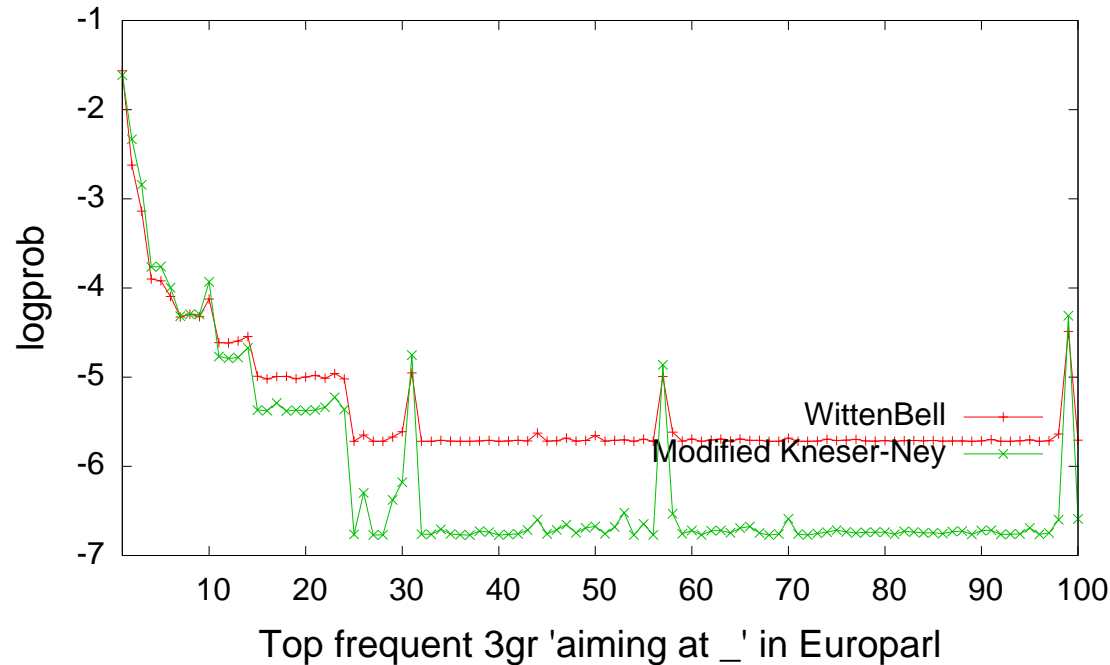
$$f^*(w | x y) = \frac{c(x y w) - \beta(c(x y w))}{c(x y)}$$

where  $\beta(0) = 0$ ,  $\beta(1) = D_1$ ,  $\beta(2) = D_2$ ,  $\beta(c) = D_{3+}$  if  $c \geq 3$ , coefficients are computed from  $n_r$  statistics, corrected counts used for lower order  $n$ -grams

- **Pros:** see previous + more fine grained smoothing
- **Cons:** see previous + more sensitiveness to noise

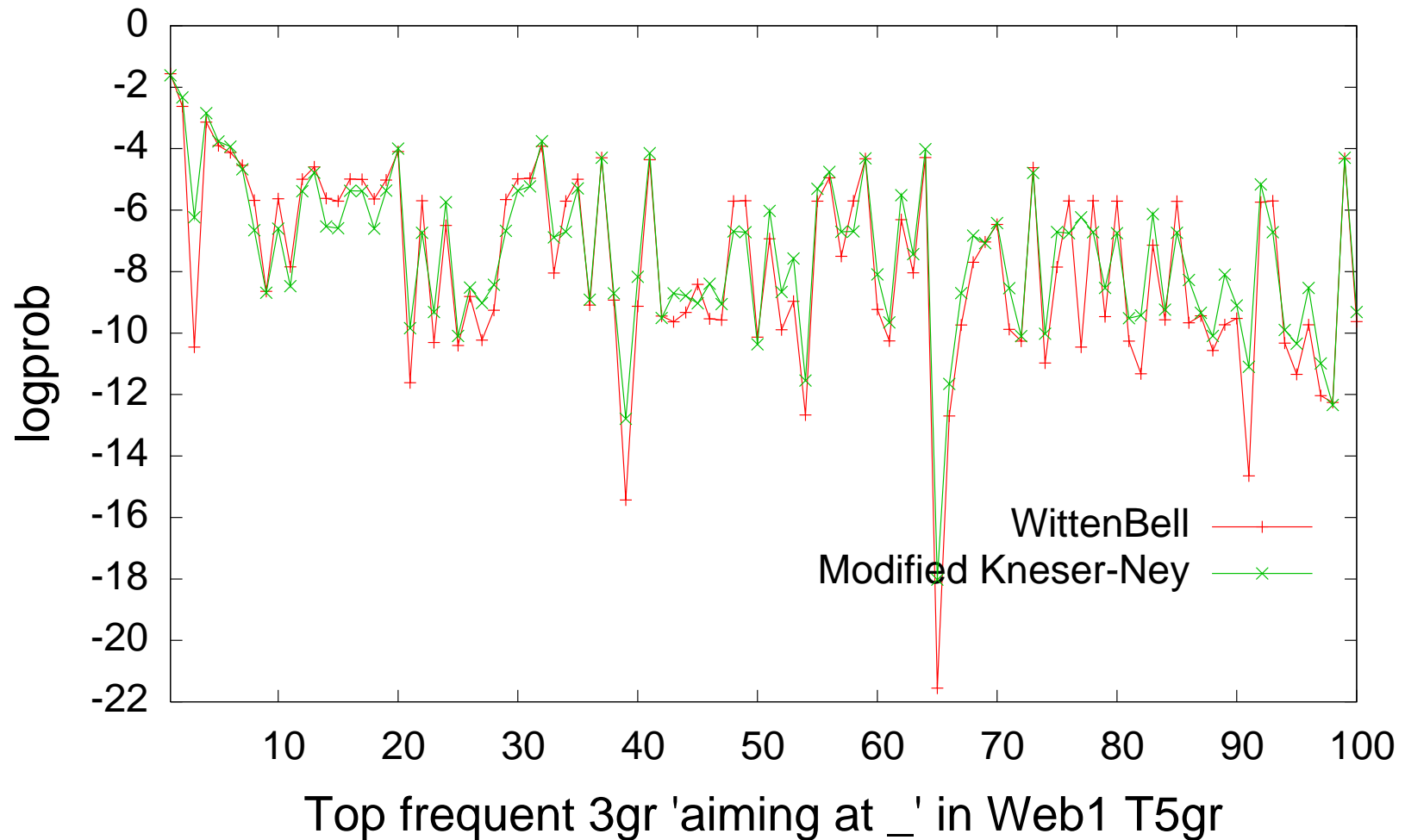
**Important:** LM interpolation with MKN is the **most popular smoothing method**. Under proper training conditions it gives the best PP and BLEU scores!

- Interpolation with WB and MKN discounting (Europarl corpus)
- The plot shows the logprob of observed 3-grams of type `aiming at _`

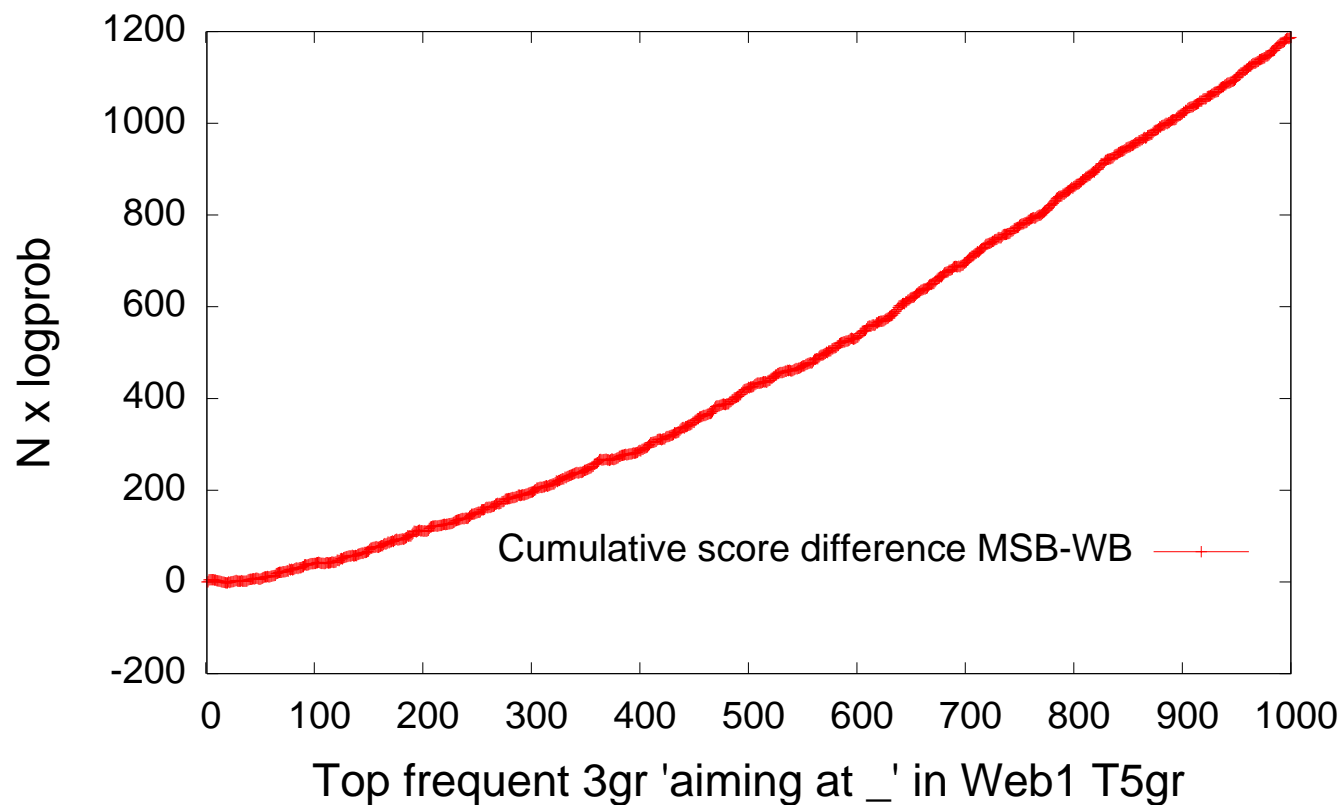


- Notice that for less frequent 3-grams WB assigns higher probability
- We have three very high peaks corresponding to large corrected counts:  
 $n(*at\ that)=665$   $n(*\ at\ national)=598$   $n(*\ at\ European)=1118$
- Another interesting peak at rank #26:  $n(*\ at\ very)=61$

- Train: interpolation with WB and MKN discounting (Europarl corpus)
- Test: 3-grams of type `aiming at _` (Google 1TWeb corpus)



- Train: interpolation with WB and MKN discounting (Europarl corpus)
- Test: 3-grams of type `aiming at _` (Google 1TWeb corpus)
- Plot: cumulative score differences between MKN and WB on top 1000 3-grams



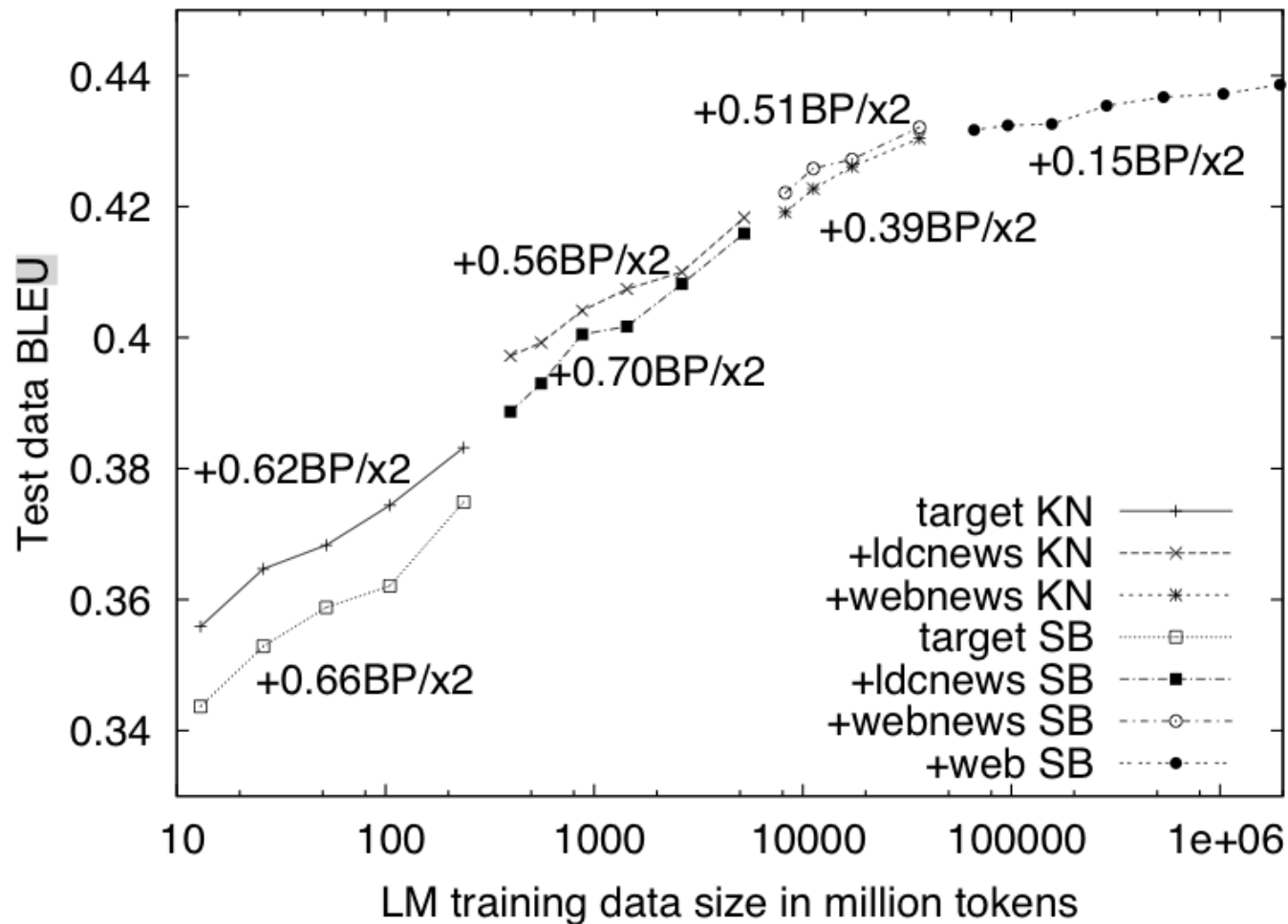
- **LM Quantization** [Federico and Bertoldi, 2006]
  - **Idea**: one codebook for each n-gram/back-off level
  - **Pros**: improves storage efficiency
  - **Cons**: reduces discriminatory power
  - Experiments with 8bit quantization on ZH-EN NIST task showed:
    - \* 2.7% BLEU drop with a 5-gram LM trained on 100M-words
    - \* 1.6% BLEU drop with a 5-gram LM trained on 1.7G words.
- **Stupid back-off** [Brants et al., 2007]
  - no discounting, no corrected counts, **no back-off normalization**

$$p(w | x y) = \begin{cases} f(w | x y) & \text{if } f(w | x y) > 0 \\ k \cdot p(w | y) & \text{otherwise} \end{cases} \quad (8)$$

where  $k = 0.4$  and  $p(w) = c(w)/N$ .



# Is LM Smoothing Necessary?



From [Brants et al., 2007]. SB=stupid back-off, KN=modified Kneser-Ney

- **Conclusion:** proper smoothing useful up to 1 billion word training data!

- Use **less sparse representation of words** than surface form words
  - e.g. part-of-speech, semantic classes, lemmas, automatic clusters
- Higher chance to match longer n-grams in test sequences
  - allows to model longer dependencies, **to capture more syntax structure**
- For a text  $w$  we assume a corresponding class sequence  $g$ 
  - ambiguous (e.g. POS) or deterministic (word classes)
- Factored LMs can be **integrated into log-linear models** with:
  - a **word-to-class factored model**:  $\mathbf{f} \rightarrow \mathbf{e} \rightarrow \mathbf{g}$  with features:

$$h_1(\mathbf{f}, \mathbf{e}), h_2(\mathbf{f}, \mathbf{g}), h_3(\mathbf{f}), h_4(\mathbf{g})$$

- a **word-class joint model**  $\mathbf{f} \rightarrow (\mathbf{e}, \mathbf{g})$  with features

$$h_1(\mathbf{f}, \mathbf{e}, \mathbf{g}), h_2(\mathbf{f}), h_3(\mathbf{g})$$

Features of single sequences are log-probs of standard  $n$ -gram LMs.

- The  $n$ -gram prob is modeled with log-linear model [Rosenfeld, 1996]:

$$p_{\lambda}(w | h) = \frac{\exp\left(\sum_{r=1}^m \lambda_r h_r(h, w)\right)}{\sum_{w'} \exp\left(\sum_{r=1}^m \lambda_r h_r(h, w')\right)} = \frac{1}{Z(h)} \exp\left(\sum_{r=1}^m \lambda_r h_r(h, w)\right)$$

- $h_r(\cdot)$  are **feature functions** (arbitrary statistics),  $\lambda_r$  are **free parameters**
- **Features can model any dependency** between  $w$  and  $h$ .
- Given feature functions and training sample  $w$ , parameters can be estimated [Berger et al., 1996] by maximizing the **posterior log-likelihood**:

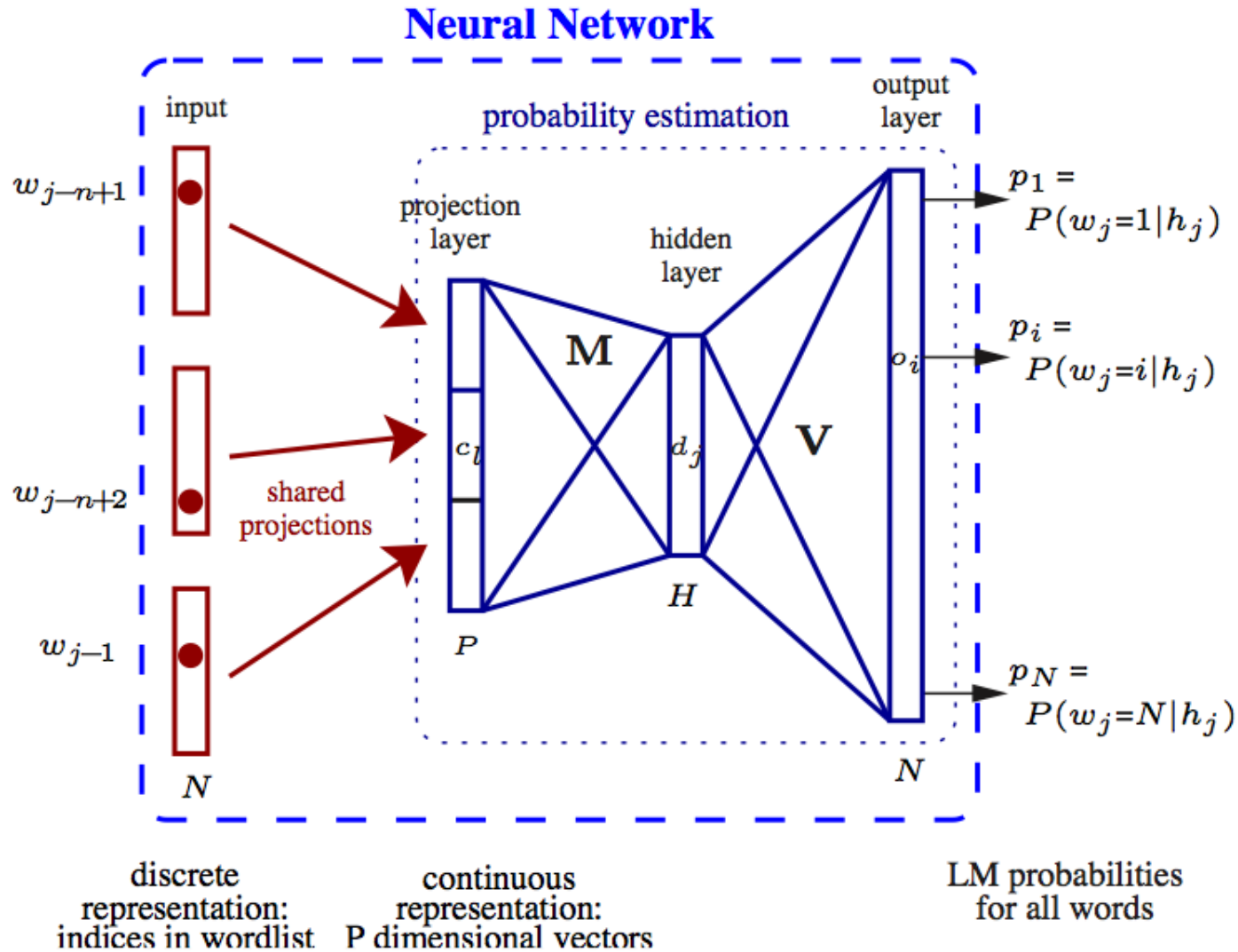
$$\hat{\lambda} = \arg \max_{\lambda \in \mathbf{R}^m} \sum_{t=1}^{|\mathbf{w}|} \log p_{\lambda}(w_t | h_t) + \log q(\lambda)$$

- where the second term is a **regularizing Gaussian prior**
- ME n-grams are rarely used: perform comparably but at higher computational costs, because of the partition function  $Z(h)$ .

- Most promising among recent development on n-gram LMs.
- **Idea:** Map single word into a  $|V|$ -dimensional vector space
  - Represent n-gram LM as a **map between vector spaces**
- **Solution:** Learn map with neural network (NN) architecture
  - one hidden layer compress information (projection)
  - second hidden layer performs the n-gram prediction
  - other architectures are possible: e.g. recurrent NN
- **Implementations:**
  - Continuous Space Language Model [Schwenk et al., 2006]
  - Recurrent Neural Network Language Modeling Toolkit <sup>6</sup>
- **Pros:**
  - Fast run-time, competitive when used jointly with standard model
- **Cons:**
  - Computational cost of training phase
  - Not easy to integrate into search algorithm (used in re-scoring)

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<sup>6</sup><http://rnnlm.sourceforge.net>



(From [Schwenk et al., 2006])

- Availability of large scale corpora has pushed research toward using huge LMs
- MT systems set for evaluations use LMs with over a billion of 5-grams
- Estimating accurate large scale LMs is still computationally costly
- Querying large LMs can be carried out rather efficiently (with adequate RAM)

## Available LM toolkits

- SRILM: training and run-time, Moses support, open source (no commercial)
- IRSTLM: training and run-time, Moses support, open source
- KENLM: run-time, Moses support, open source

## Interoperability

- The standard for n-gram LM representation is the so-called **ARPA file format**.

Represents both interpolated and back-off n-gram LMs

- format:  $\log(\text{smoothed-prob}) :: \text{n-gram} :: \log(\text{back-off weight})$
- computation: look first for smoothed-prob, otherwise back-off

```
ngram 1= 86700
ngram 2= 1948935
ngram 3= 2070512
```

```
\1-grams:
```

```
-2.94351    world    -0.51431
-6.09691    friends  -0.15553
-2.88382    !        -2.38764
```

```
...
```

```
\2-grams:
```

```
-3.91009    world !    -0.3514
-3.91257    hello world -0.2412
-3.87582    hello friends -0.0312
```

```
...
```

```
\3-grams:
```

```
-0.00108    hello world !
-0.00027    hi hello !
```

```
...
```

```
\end\
```

Represents both interpolated and back-off n-gram LMs

- **format**:  $\log(\text{smoothed-prob}) :: \text{n-gram} :: \log(\text{back-off weight})$
- **computation**: look first for smoothed-prob, otherwise back-off

```
ngram 1= 86700
ngram 2= 1948935
ngram 3= 2070512
```

Query:  $\text{Pr}(! / \text{hello friends } )?$

```
\1-grams:
-2.94351    world    -0.51431
-6.09691    friends  -0.15553
-2.88382    !        -2.38764
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\2-grams:
-3.91009    world !    -0.3514
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-3.87582    hello friends -0.0312
...

\3-grams:
-0.00108    hello world !
-0.00027    hi hello !
...

\end\
```

1. look-up  $\log\text{Pr}(\text{hello friends } !)$   
**failed!** then back-off
2. look-up  $\log\text{Bow}(\text{hello friends } )$   
res=-0.0312
3. look-up  $\log\text{Pr}(\text{friends } !)$   
**failed!** then back-off
4. look-up  $\log\text{Bow}(\text{friends } )$   
res=res-0.15553
5. look-up  $\log\text{Pr}(!)$   
res=res-2.88382
6. prob= $\exp(\text{res})=0.04640$



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